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## LASER AND FIBER COUPLING CONTROL

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## CROSS-REFERENCE TO RELATED APPLICATIONS

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This application claims the benefit of U.S. provisional application numbers 60/244,689 filed October 30, 2000, 60/244,738 filed October 30, 2000, 60/311,621 filed August 8, 2001, 60/311,443 filed August 8, 2001 and U.S. patent application entitled Error Signal For Fiber Coupling filed October 29, 2001, which are hereby incorporated by reference as if set forth in full herein.

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## BACKGROUND

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The present invention relates generally to lasers and in particular to controlling fiber coupling between an array of lasers and an optical output.

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Fiber coupling is often an essential but costly step in packaging various waveguide devices for telecommunication applications. On account of the very small optical modes in single mode waveguide devices, very tight submicron tolerances are often required in the packaging.

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Generally, the devices are actively aligned. For example to fiber couple a telecommunication laser, the device is activated, and the optical power coupled to the fiber is monitored as the positions of the various optical elements in the package are varied. When the coupling is maximized, the optical elements are permanently fixed in position. The process is time consuming, costly, and often not very reproducible due to contraction in epoxies or thermal expansion of the components.

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Furthermore, all the components in the package should be made absolutely immobile for the above procedure to maintain effectiveness over time. Any change in the position of the elements decreases the optical coupling. This makes hybrid integration of components with varying expansion coefficients very difficult. For example, to package a laser with a lithium niobate modulator, the laser uses hard solder for thermal heatsinking, while the modulator uses a soft epoxy that does not stress the crystal. The relative position of these devices will vary in the package due to the mismatch in the materials. Similarly, solders and epoxies tend to cause stress in the fiber, which affects yield and reliability and can cause birefringence in the fiber that influences the polarization of light in the core.

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#### BRIEF SUMMARY OF THE INVENTION

The present invention provides adjustable optical coupling systems and methods. In one embodiment, a laser from an array of lasers is selected in which each laser emits light at different wavelengths. An optical path from the laser to an optical output is established such that light from the laser is transmitted into an optical output. The optical path established is adjusted to maximize output power of the emitted light into the optical output. In one aspect of the invention, a look-up table is established where the table has entries in which individual lasers in the laser array are each assigned an output power value and an entry in the look-up table that corresponds to the selected laser is identified. In another aspect of the invention, a look-up table is established where the table has entries in which individual

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lasers in the laser array are each assigned a predetermined  
output power value and associated with a predetermined  
5 location identified for the optical element. An entry in the  
look-up table that corresponds to the selected laser is  
identified.

10 In one embodiment, the system comprises an array of  
lasers, at least one optical element and an optical output  
such that light from a laser from the array of lasers is  
directed into the optical output by the at least one optical  
element. A controller is also coupled to the at least one  
15 optical element and configured to adjust the optical element  
to maximize output power of the light directed into the  
optical output. In one aspect of the invention, the system  
also comprises a plurality of photodetectors proximate the  
optical output. The controller is coupled to the plurality of  
20 photodetectors and is configured to adjust the optical element  
based on the information provided by the photodetectors. The  
information provided by the photodetector comprises optical  
output power of light received at one or more of the  
photodetector and/or a location of light incident upon one or  
25 more of the photodetectors. In another aspect of the  
invention, the controller generates an error signal to adjust  
the optical element.

30 In a further embodiment, the system comprises an array  
of lasers having lasers configured to emit light, an optical  
output configured to receive light and a detector near the  
optical output. The system also includes at least one optical  
element configured to receive light from a laser from the  
array of lasers and to direct a portion of the light to the  
35 optical output and a portion of light to the detector. A

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controller is coupled to the at least one optical element and  
configured to adjust the at least one optical element to  
5 maximize output power of the light directed into the optical  
output. In one aspect of the invention, the optical element  
comprises a beam splitter and/or a mirror.

10 In a further embodiment of the invention, the system  
comprises an array of lasers comprising a first laser and a  
second laser where the first laser is configured to emit light  
and the second laser is configured to emit light. An optical  
output is also provided and configured to receive light from  
15 the first laser. The detector near the optical output is  
configured to receive light from the second laser. Also, at  
least one optical element is provided and configured to  
receive light from the first and second lasers and a  
controller is coupled to the at least one optical element and  
20 configured to adjust the at least one optical element to  
maximize output power of the light into the optical output.  
In other aspects of the invention, the second laser is a  
predetermined distance from the first laser.

25 In another embodiment of the present invention, the  
system comprises emitting means for emitting light having  
differing wavelengths, output means, and optical means for  
directing light having a particular wavelength from the  
emitting means into the output means. Coupled to the optical  
30 means is control means that also adjusts the optical means to  
maximize power of the light directed into the output means.  
In another aspect of the invention, the system further  
comprises reflective means for reflecting light from the  
emitting means and directed to the output means. In another  
35 aspect of the invention, the system provides sensing means for

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sensing light and is proximate the output means. The control  
means is coupled to the sensing means and adjusts the optical  
5 means based on light sensed by the sensing means.

Many of the attendant features of this invention will be  
more readily appreciated as the same becomes better understood  
by reference to the following detailed description and  
10 considered in connection with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

15 FIG. 1 illustrates one embodiment of an optical  
transmission apparatus having an individually addressable  
multi-wavelength laser array directly coupled to a 1:N micro-  
mechanical switch;

FIG. 2 illustrates one embodiment of an optical  
transmission apparatus with a control system;

20 FIG. 3 illustrates another embodiment of an optical  
transmission apparatus in which light is provided to a fiber  
via a movable mirror being dynamically controlled;

FIG. 4 illustrates one embodiment of an optical  
transmission apparatus with a magnetically movable mirror;

25 FIG. 5 illustrates one embodiment of an electrostatic  
movable mirror;

FIG. 6 illustrates one embodiment of an optical  
transmission apparatus with a control system;

30 FIG. 7 illustrates a flow diagram of one embodiment of  
adjusting an optical element to maximize fiber coupled power;

FIG. 8 illustrates a flow diagram of one embodiment of  
adjusting an optical element to maximize fiber coupled power;

35 FIG. 9 illustrates a flow diagram of one embodiment of  
adjusting an optical path to maximize fiber coupled power;

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FIG. 10 illustrates a dithering control system and capable of controlling various embodiments of an optical transmission apparatus;

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FIG. 11 illustrates one embodiment of a control system using a chiseled fiber and capable of controlling various embodiments of an optical transmission apparatus;

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FIG. 12 illustrates one embodiment of a control system using an angle cleaved fiber and capable of controlling various embodiments of an optical transmission apparatus;

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FIG. 13 illustrates one embodiment of a control system using a beam splitter and capable of controlling various embodiments of an optical transmission apparatus;

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FIG. 14 illustrates one embodiment of a control system using parasitic reflectivity and capable of controlling various embodiments of an optical transmission apparatus; and

FIG. 15 illustrates one embodiment of a control system using a guide laser and capable of controlling various embodiments of an optical transmission apparatus.

#### DETAILED DESCRIPTION

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FIG. 1 shows an array of single frequency lasers, such as distributed feedback (DFB) lasers, on a semiconductor substrate. The array of lasers comprises a number of independently addressable lasers 7. Each laser has a separate contact pad 3 from which current is injected into the laser. Each laser is designed to operate at a different lasing wavelength, by, for example, varying the grating pitch in the laser or adjusting the effective index of the optical mode through varying the stripe width or the thickness of the layers that compose the laser. When current is injected into

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the laser using for example contact pads 3, the laser emits  
radiation with a specific wavelength and from a particular  
5 position on the chip, as represented by the arrows 9. In one  
embodiment, one laser is operated at a time, depending on the  
desired wavelength. The radiation or light from the lasers is  
transmitted to a micro-mechanical optical switch or switching  
10 element 11. The switching element has a number of states. In  
each particular state of a set of states, one of the input  
optical beams, i.e., light from one of the lasers, is  
transferred to the output 13 and transferred to the output  
fiber 15. The entire assembly is packaged together on one  
15 submount 19.

The fabrication of multi-wavelength laser arrays is  
relatively well known in the art. To assign different  
wavelengths to each laser, a number of techniques can be used,  
20 such as directly-written gratings with electron beam  
lithography, stepping a window mask during multiple  
holographic exposures, UV exposure through an appropriately  
fabricated phase mask, or changing the effective index of the  
mode of the lasers. Generally, for stable single mode  
25 characteristics, either a controlled phase shift is also  
included in the laser or gain/loss coupling is used in the  
grating. The wavelength of such lasers can be accurately  
controlled through dimensional variables, and varied across  
30 the array.

The lasers, switching element, and other components are  
more fully described in the commonly assigned patent  
application entitled Tunable Controlled Laser Array, filed on  
35 October 30, 2001 the disclosure of which is incorporated by  
reference.

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One exemplary embodiment of the switching element 11 is shown in the system of FIG. 2. In the embodiment illustrated in FIG. 2, current is provided to a laser element of the laser array, e.g., laser element 7, and thereby the laser element emits light. The light from the laser element is then directed by an optical element 25 to an optical output, e.g., an optical fiber 15. The optical element, in one embodiment, is a moveable mirror. The optical element, in various other embodiments, is a combination of mirrors, lens, beam splitters or other types of stationary and/or movable optical components, such that light from any particular laser or one or more lasers is directed to the optical fiber. Additionally, the optical element, in various embodiments, directs light or a portion of the light to a detector 23. In other embodiments, the optical output provides light or a portion of the light to the detector.

Over time or due to certain conditions, e.g., thermal effects or packaging disturbances, the laser to fiber coupling arrangement may require alignment or adjustment.

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A controller 21 with the detector 23 identifies and corrects for misalignments. The detector 23 senses, in various embodiments, a position of the light beam or a measure of the power of light beam at a predefined position. In one embodiment, the detector 23 determines the power of the light focused into the optical fiber. The controller receives the positional and/or power information from the detector, and determines if the fiber coupling arrangement should be adjusted. As such, the controller, in one embodiment, generates a signal used to adjust the fiber coupling arrangement. In one embodiment, the controller is a digital



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signal processor configured to receive and interpret power and/or positional information from the detector and to generate and issue adjustment commands to the optical element.

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In FIG. 3, one embodiment of an optical element of FIG. 2 is shown. The light from laser element 7 is collimated by a lens 81 and strikes a moveable mirror 83. The mirror reflects the light to a lens 805 which focuses the light into an optical output, e.g., an optical fiber 15.

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The fabrication of micro-mechanical tip/tilt mirrors, such as the mirror 83, are well known in the art. Both surface micromachining techniques and bulk silicon etching have been used to make such mirrors. In general, the precision required for a mirror used with the present invention is considerably less than that of large cross connect switches, as the modes of the laser array are closely spaced.. Thus, the pointing accuracy for the optical apparatus is considerably reduced.

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In one embodiment, controller 21 consults a look-up table to determine an initial position of the mirror upon selection of a laser, and thereafter induces slight movement of the mirror to determine a preferred position. For instance, the controller maintains a lookup table of the mirror positions in conjunction with the selection of each of the lasers in the laser array. A detector sensing light from the mirror or the optical fiber provides a signal to the controller, the signal providing positional and/or power information regarding the light. Based on the values in the lookup table and the measurements performed by the detector, the controller determines which direction the mirror should be moved in order to provide optimal output power. Thus, as appropriate, the controller produces a control signal to move the mirror, for

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example, in a first or second direction. The second direction  
is a direction that is substantially opposite from the first  
5 direction. The mirror, in one embodiment, is continually  
commanded to wander and the output power monitored to  
compensate for movement of components of the package, thermal  
effects and other causes of potential misalignment and thereby  
10 provide maximum output power.

In a further embodiment, the controller determines if the  
positional and/or power information from the detector differs  
from a predetermined optimal positioning of the arrangement  
and/or a predefined maximum or optimal optical power of the  
15 light. Based on positional and/or power differences  
determined by the controller, the controller moves the mirror.  
For example, if the detector indicates that the light is at  
position X1, and the controller, by referring to the look-  
20 table, determines that the light should be at position Y1, the  
controller causes the mirror to move. As such, when the  
mirror is moved, the fiber coupling arrangement is adjusted.  
In other words, the mirror reflects the light from the laser  
and to the optical fiber, but to a different point or  
25 position. In this manner, the detector and controller measure  
the light to the optical fiber and adjust the mirror  
accordingly. Therefore, misalignments are corrected and  
optical power of the light at the optical fiber is maximized.  
30 Various embodiments of the detector determining the positional  
and/or power information regarding the light into the optical  
fiber and the controller adjusting the mirror or other optical  
elements is described in greater detail later.

35 FIG. 4 shows a schematic of one embodiment of an optical  
system with a magnetically moveable mirror. In the diagram a

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laser array chip 5 comprises a number of different laser  
elements 7, each of which has a different set of  
5 characteristics. Depending on the system requirements, the  
light from one particular laser element is used and directed  
by the optical train to an output fiber 15.

10 In the particular embodiment illustrated in FIG. 4, the  
light from the laser element is collimated by a fixed focusing  
lens 81 and impinges on a mirror 83. Once reflected from the  
mirror, the light is focused by a second lens 805 and is  
coupled to the output fiber. In one embodiment, the  
15 collimating lens and the second lens are replaced by a single  
lens. The single lens is positioned approximately in the  
position of the collimating lens of FIG. 4, with the mirror  
located at the back focal plane of the lens.

20 The mirror's rotation angle is adjusted both to select  
the beam of a particular laser, and also to maintain the  
optimal coupling to the optical output. Two magnets 85  
attached to the rear of the mirror are positioned within  
solenoids 87. Wires 89 are attached to the solenoids. A  
control current applied through the wires 89 controls the  
25 magnetic field which pulls one magnet into the solenoid and  
pushes the other magnet out. Together with a fixed pivot  
point 803 and a spring 801, the angle of the mirror is tuned,  
i.e., tilted, using the control current.

30 FIG. 5 illustrates another embodiment of a moveable  
mirror. The mirror has three sections, a first section 151, a  
second section 153 and a third section 155. The first section  
151 is stationary and is coupled to the second section 153 via  
torsion hinges 151a and 151b. The second section rotates  
35 about torsion hinge 151a, i.e., about a first axis 157. The

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third section is coupled to the second section via torsion  
hinges 153a and 153b. As such, third section also rotates  
5 about the first axis when the second section rotates.  
Additionally, the third section rotates about the torsion  
hinges 153a and 153b, i.e., about a second axis 159. The  
third section is also coated with or is made of a reflective  
10 material so that light from a laser is reflected off the third  
section. By moving the section and third sections about the  
respective first and second axes, the mirror is able to direct  
light from a laser to a multitude of positions.

15 In one embodiment, portions of the sections are each  
plated or otherwise made conductive. From an external source  
(not shown), voltage is applied between the plated portions  
and a substrate below the mirror. As such, the portions act  
as capacitor plates and thus an electric field is generated.  
20 Through the interaction of the charge on the mirror and the  
electric field generated, a force is generated, such that the  
sections move or rotate. The amount of force generated is  
based on the distance or gap between the portions. In other  
embodiments, thermal actuators are used to position the  
25 mirror.

FIG. 6 illustrates another embodiment of a control system  
in accordance with the present invention. An array of lasers 5  
is formed on a substrate. In the embodiment described twelve  
30 lasers are provided, and each of the lasers produces light at  
a different wavelength, with the wavelengths centered around  
1550 nanometers which is useful for fiber optic  
telecommunications. The lasers are distributed feedback (DFB)  
lasers, although in different embodiments the lasers are  
35 distributed Bragg reflector (DBR) lasers or vertical cavity

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surface emitting lasers (VCSELs). The VCSELs may be arranged linearly, as are the DFBs and DBRs in preferred embodiments, but the VCSELs are generally arranged in a two dimensional array.

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Light from the lasers is passed through a collimating lens 61 and then to a moveable MEMS structure 63. As illustrated the MEMS structure is a two axis tilt mirror, such as described in U.S. Provisional Patent Application No. 60/309,669, entitled MEMS Mirror, filed August 2, 2001, the disclosure of which is incorporated by reference. The mirror is moved via a MEMS control 65 by applying voltages to contact pads, resulting in rotation of the mirror in what for convenience will be described as the x and y axis. As illustrated, the light is then reflected from a second mirror 67 to a fiber 15. In alternative embodiments a prism is used to cause the light to reach the fiber. Using either the second mirror or the prism allows the laser and other components to be packaged in a butterfly package of the type generally used for laser light sources in telecommunications systems.

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In various embodiments an optical isolator 69 is placed between the second mirror and the fiber. The optical isolator prevents, for example, stray reflections, from the end of the fiber or from discontinuities in the telecommunication's line, from returning to the laser. Also in various embodiments a modulator is placed after the optical isolator, or in its place, to modulate the light with an information signal.

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In one embodiment a quad detector 601 is placed between the second mirror and the fiber. The light from the second mirror is reflected onto the quad detector which generates photocurrent in the four sections A, B, C and D. The ratio of

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these currents are stored and used for maintaining alignment. For instance, the ratio of the currents generated in sections  
5 A and B of the quad detector are measured and stored. Also, the ratio of the currents generated in sections C and D of the quad detector are measured and stored. An electronic control loop is then configured to maintain these ratios during the  
10 operation of the device. When the device is first packaged, a calibration procedure is performed in which the currents to the different sections of the quad detector are measured when the beam is optimally aligned. These current values are stored and used in the operation of the device. By operating  
15 the MEMS mirror in a feedback loop to keep the ratios of these currents the same, the optical beam will point in the same direction and thus maximum fiber coupling will be maintained. In one embodiment, generated photocurrents are provided to the  
20 MEMS control 65. Based on the generated photocurrents, the MEMS control produces an x axis control signal and a y axis control signal. Using these control signals or a signal or signals representative thereof the MEMS control positions the mirror.

25 In other embodiments, the quad detector is placed behind the second mirror or a third mirror is provided to direct light to the quad detector. Various operation and placement of the quad detectors and photodetectors relative to the other  
30 components in the package are also discussed, for example, in U.S. Provisional Patent Application No. 60/244,789, the disclosure of which is incorporated by reference.

35 In one embodiment, external to the package is an optional wavelength locker 603. As illustrated, the wavelength locker is an inline wavelength locker, although in various

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embodiments the wavelength locker is connected to the fiber by  
a tap. The wavelength locker illustrated in FIG. 6 determines  
5 the strength of light at two wavelengths about a selected  
wavelength. This is done, for example, by reflecting a portion  
of the transmitted light to two photodetectors. The  
transmitted light to a first of the photodetectors is of a  
10 wavelength slightly below the selected wavelength. The  
transmitted light to a second of the photodetectors is of a  
wavelength slightly above the selected wavelength. Various  
wavelength lockers are known to those of skill in the art.

15 Generally, a wavelength error signal is formed using the  
ratio of the output of the two photodetectors. For example,  
the output of the first photodetector may be considered as  
forming the numerator, and the output of the second  
photodetector may be considered as forming the denominator. In  
20 such a circumstance, it may be seen that if the wavelength is  
too high the ratio will decrease, and if the wavelength is too  
low the ratio will decrease. Formation of the ratio, or a  
signal indicative of the ratio, may be accomplished using  
comparators, differential amplifiers, calculation by a  
25 microprocessor (following analog-to-digital conversion), or  
the like. The wavelength error signal is used for slight  
adjustments to the wavelength of the laser, using for example  
temperature tuning, particularly for DFB lasers, or charge  
30 injection for DBR lasers.

In the embodiment described the output of the  
photodetectors in the wavelength locker is also used as an  
indication of output power from the laser. The output of the  
photodetectors, for example, is summed by a summer. The output  
35 of the summer is an output power indicator, and is provided to

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a control element. The control element produces a x axis control signal and a y axis control signal using the control  
5 signal, or a signal or signals representative thereof. The x axis control signal and the y axis control signal is used to position the mirror.

10 In one embodiment the control element maintains a lookup table of mirror positions for selection of each of the twelve lasers. The lookup table is populated, in one embodiment, at the time of manufacture of the package. On receipt of a command to select a particular laser, the control element  
15 reads the appropriate values from the lookup table and generates the corresponding x axis control signal and y axis control signal.

20 Due to movement of components of the package, thermal effects, and other causes of potential misalignment, the control signals generated using the lookup table may not appropriately position the mirror. Accordingly, in one embodiment the mirror position is commanded to wander slightly, with the output power indicator monitored to determine the mirror position providing maximum output power.

25 In another embodiment, the light from a tap on the fiber is provided to a photodetector. The photodetector produces a signal that is proportional to the output power of the light from the tap and is provided to the controller. The  
30 controller adjusts the mirror based on previous signals provided by the wavelength locker or an initial calibration.

35 A flow diagram of a process for determining position of the mirror is provided in FIG. 7. In Block 71 the process determines a selected laser based on a laser select command. In Block 73 the process determines the appropriate control



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signals using a lookup table of expected mirror positions for  
selected lasers. In Block 75 the process samples the power  
5 output indicator and stores the result as an initial result.

In Block 76 the process determines if the laser/fiber  
coupling position should be optimized. It may be desirable to  
interrupt the optimization process occasionally (e.g., when  
10 switching between lasers).

In Block 77, the process alternates between, the first  
and second axes, e.g., the X and Y axes. Each axis position  
is optimized alternately to ensure that an optimal position is  
maintained for both axes. In Block 78 the optical element,  
15 e.g., the MEMS, is moved by a value of DELTA, which is  
appropriately chosen for each axis according to the current  
position of the MEMS. In one embodiment, the value of DELTA  
is determined from a function of the MEMS position and an  
amount voltage used to move the MEMS, such that smaller values  
20 of DELTA are used for larger MEMS voltages.

In Block 79 the process again samples the power output  
indicator and compares the result with the initial or previous  
output power value. If the comparison indicates a greater  
25 output power at the new position of the MEMS, the process  
replaces the x axis position in the lookup table with the new  
position in Block 81. If the comparison indicates less output  
power at the new position, the process commands the mirror to  
30 move to another position by a slight amount in a direction  
opposite the previous direction. The process then samples the  
output power indicator and compares the result with the  
initial result. If the comparison indicates a greater output  
35 power at the new position, the process replaces the x axis  
position in the lookup table with the new position in Block

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5 Blocks 77 through 81 are repeated for the y axis, with the offsets being in a second direction and a direction opposition the second direction. The process then returns to Block 77, unless Blocks 77 through 80 indicate a position of maximum power is attained. If the new position results in a lower power, the direction of movement of the MEMS is reversed, e.g., by changing the sign of DELTA, and repeating the loop. In Block 81, the initial position is periodically updated in the lookup table using the current position.

15 In one embodiment, however, the process repeats until a new laser, or no laser, is selected. Continually repeating the process is beneficial, for example, if thermal or other effects result in displacement of some or all of the system components. In addition, at initial laser selection, or whenever the wavelength of the laser is being adjusted, deviations in the outputs of the photodetectors may be observed. Accordingly, in one embodiment mirror positioning is not accomplished if the ratio of the photodetector signals is outside a predefined limit. In another embodiment, mirror positioning as described above is first accomplished. Subsequently, the wavelength of the laser is adjusted and deviations in the outputs of the photodetectors are observed.

25 30 In yet a further embodiment, the amount of movement of the mirror during alignment is reduced as the process repeats. This allows, for example, for finer adjustment of the mirror position over time, and also helps avoid limiting the mirror position to sub-optimal locations.

35 A flow diagram of another embodiment of the process for determining position of the mirror is provided in FIG. 8. In

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block 201 the process determines a selected laser based on a  
laser select command. In block 203 the process using a lookup  
5 table determines the expected or initial mirror positions for  
the selected laser. In block 205 the process determines if the  
laser/fiber coupling position should be optimized. It may be  
desirable to interrupt the optimization process occasionally  
10 (i.e. when switching between lasers).

In block 207 the process samples the power output  
indicator and stores the result as an initial result.

15 In block 209, the optical element, e.g., the MEMS, is  
moved in a selected axis by a value of DELTA and the power  
output is sampled again. In block 211 the process calculates  
the slope of a power function by determining the change in the  
power output, i.e., the sampled power output in block 209  
minus the initial result obtained in block 207, over the  
20 change in the position or location of the mirror in the first  
direction. In one embodiment, the MEMS position is updated  
and the MEMS is moved in block 214 by changing the current  
location by the calculated slope multiplied by a change  
factor.

25 In Block 216, the initial position is periodically  
updated in the lookup table using the updated position of the  
MEMS determined in block 214. In Block 218, the process  
switches to the other axis, i.e., alternates between the X and  
30 Y axes. As such, each axis position is optimized alternately  
to ensure the optimal position is maintained for both axes.

35 In one embodiment, however, the process repeats until a  
new laser, or no laser, is selected. In yet a further  
embodiment, the amount of movement of the mirror during  
alignment is reduced as the process repeats. This allows, for

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example, for finer adjustment of the mirror position over time, and also helps avoid limiting the mirror position to sub-optimal locations.

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In another embodiment, predetermined positions of the mirror for the x and y axis and the power output of the laser selected are provided. In a further embodiment, the process determines the power output relative to positions in both the x and y axis. In either embodiment, the process determines or approximates a power function that relates power output to the positions of the mirror in the x and y axis.

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For example, a tangent plane is determined in which the slope of the power function relative to the position of the mirror in the x direction and the slope of the power function relative to the position of the mirror in the y direction is determined for a specific position of the mirror in the x and y axis, i.e., the point of tangency of the function. From the calculated function a local maximum is determined, i.e., where the power output is greatest and is neither increasing or decreasing at a specific position of the mirror in the x and y axis. In other words, the derivative of the power function is 0 in every direction. In one embodiment, the local maximum determined is set as the initial start conditions or position of the mirror in the x and y axis in which maximum power output for the selected laser is obtained. The process in FIG. 7 is then used to confirm that this maximum power output point has not changed due to an error condition, such as movement of components of the package, thermal effects, etc.

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A flow diagram of one embodiment of the process for determining position of the mirror using a quad detector is provided in FIG. 9. In block 301 the process determines a

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selected laser based on a laser select command. In block 303  
the process samples the currents generated from each section  
5 A, B, C and D of the quad detector. In block 305 the process  
calculates ratios of the currents from of one section relative  
to another section. For example, in one embodiment, a first  
ratio (the current from section A over the current from  
10 section B) and a second ratio (the current from section C over  
the current from section D) are calculated. Various other  
embodiments of the first and second ratios, such as the  
current from section D over the current from section A,  
additional ratios, such as a third ratio (the current from  
15 section B over the section C) and any combination thereof may  
be provided.

In block 307 the process analyzes the ratios in relation  
to the position of the mirrors. In other words, if the  
20 process in block 307 determines that the first and second  
ratios are not equal to one, the process in block 309 moves  
the mirror using a control signal. If the first ratio is  
greater than one, the process moves the mirror along the x  
axis by a predetermined amount in a first direction.  
25 Alternatively, if the first ratio is less than one, the  
process moves the mirror along the x axis by a predetermined  
amount in a direction opposite of the first direction. If the  
first ratio is equal to one, but the second ratio is greater  
30 than one, then the process moves the mirror along the x axis  
by a predetermined amount in a first direction.  
Alternatively, if the second ratio is less than one, the  
process moves the mirror along the x axis by a predetermined  
amount in a direction opposite of the first direction. The  
35 amount of movement is related to how much the measured ratio

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is different from the ideal ratio. The process is then  
repeated continuing to block 303 sampling the currents from  
5 the quad detector. However, if in block 307 the process  
determines that the first and second ratios are equal to one,  
the process ends. The process is also repeated starting from  
block 303 for the y axis with the movement of the mirror along  
10 the y axis being in a second direction and a direction which  
is opposite to the second direction. In one embodiment, the  
ratio corresponds to a value determined during an initial  
calibration.

15 In one embodiment, however, the process repeats until a  
new laser, or no laser, is selected. In yet a further  
embodiment, the amount of movement of the mirror during  
alignment is reduced as the process repeats. This allows, for  
example, for finer adjustment of the mirror position over  
20 time, and also helps avoid limiting the mirror position to  
suboptimal locations.

25 In one embodiment, initially, the process using a lookup  
table determines the expected or initial mirror positions for  
the selected laser. This lookup table is generated  
specifically for the device in an initial calibration  
procedure after the laser is packaged. In another embodiment,  
a predetermined relationship between a section or sections of  
30 the quad detector and the position of the MEMS structure is  
provided. For example, more light on section A and thus more  
current from section A indicates that the mirror should be  
moved along the x axis in a first direction. Using the first  
example and the first ratio describe above, the process then  
35 in block 307 would recognize that the MEMS is to be moved in  
the first direction in block 309 if the first ratio is greater

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than one. In another example, more light on section D and thus  
more current from section D indicates that the mirror should  
5 be moved along the y axis in a second direction. Using this  
example and the second ratio describe above, the process then  
in block 307 would recognize that the MEMS is to be moved in  
the second direction in block 309 if the second ratio is less  
10 than one. As such, in this embodiment, if a relationship  
between the currents from the sections and the movement of the  
MEMS for the x and y axis is predetermined, the process does  
not need to be repeated for the y axis.

15 A schematic for one embodiment of two axis control of an  
optical apparatus 91 is shown in FIG. 10. The optical system  
has two controls to maintain coupling, labeled X and Y on the  
diagram. The controls correspond to an electronic control of  
position, such as described in reference to the previous  
20 figure. For example, the x-control determines the rotation of  
a tilt mirror in the x-axis, while the y-control determines  
the rotation of the tilt mirror in the y-axis.

25 As illustrated in FIG. 10, an optical output of the unit  
is coupled to a fiber 93. Some of the power is monitored  
through a tap 95 provides a signal to a photodetector 97. The  
higher the coupled optical power, the greater the signal  
produced by the photodetector. The electrical signal produced  
30 by the photodetector is provided to two phase lock loops or  
lock-in amplifiers 99a and 99b. These measure the sinusoidal  
components present in an input signal at a frequency  
corresponding to a reference input signal and generate a  
signal whose magnitude corresponds to the in-phase component  
35 of the input signal compared to the reference input signal.  
For example, if the sinusoidal component of the input signal

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is in phase with the reference signal, an in-phase output of the lock-in amplifier would be positive, while if the input  
5 signal is out-of-phase with the reference signal, the in-phase output would be negative.

The output of the lock-in amplifier is then provided to a respective variable signal source 901a and/or 901b, where it is integrated to generate a DC signal. The DC signal is added  
10 to a sinusoidal AC source 903a and/or 903b, and the combination is fed to the appropriate control input of the optical system.

For two axis control, the AC sources for the x and y axes  
15 operate at two different frequencies  $f_1$  and  $f_2$ . If the positive cycle of the dithering AC signal improves the optical coupling, then the DC signal will increase to improve the output power, while if the positive cycle reduces the  
20 coupling, then the DC signal will decrease. The operation of such a control loop falls under the domain of feedback analysis, and the timing and stability can be easily calculated. Additionally, the DC signals in various  
25 embodiments, are used to monitor the degradation of the package's fiber coupling and/or be used to warn of an impending failure. This is in contrast to conventional monitor photodiodes in laser packages which monitor only the health of the laser chip itself and not the fiber coupled power, which  
30 requires a costly external tap.

For telecom applications, such dithered signals may not be an important issue. For example, in 2.5Gb/s communications (OC48), the communication link generally has a low-pass cut-  
35 off of about 70MHz. Thus low frequency oscillations on the output signal should not lead to significant errors in the



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data communication. Since the timing for the servo loop is ultimately limited by the mechanical time constants of the transducer, the dithering frequencies and the response time of the loop is far slower than the low pass cut-off frequency.

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An alternative method of dithering that does not depend on phase locked loops or two different dithering frequencies, as described above, is to directly tilt the beam in other directions and monitor improvements or changes in fiber coupled power. For example, if the lookup table indicates that previously the optical position for the mirror was at an x voltage of 100V and a y voltage of 50 volts, the microprocessor determines and utilizes five points (100,50), (100.1, 50), (99.9, 50), (100,50.1), and (100, 49.9), and then takes the point with the highest power for the next iteration. In one embodiment, the alternative method is performed by a fast microprocessor in a telecommunication system having a good signal to noise ratio.

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However, in many applications, having a dithered signal on the output is not acceptable, or splicing an appropriate tap on the output fiber may be prohibitive. In such cases an alternate method of generating an error signal for the control loop is used. One method is described below in reference to one embodiment of a fiber arrangement shown in FIG. 11. In place of a standard cleaved fiber, a chiseled fiber is used. Such fibers are readily built by a number of suppliers. The fiber 105 has a flat section where a single mode region is contained, but has sloping sidewalls. When the light is focused by lens 101 on the core, some of the light at the edges of the beam is incident on the sloping sidewalls and is reflected around the fiber. There are four photodetectors

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placed on the periphery of the fiber, photodetector 103A is  
above the fiber, 103B below, 103C to the right and 103D to the  
5 left.

In the initial packaging stage, the electronic control is  
varied to optimize the coupling to the fiber. When this  
optimal packaging condition is achieved, the ratio of the  
10 optical power falling on the vertical detectors (power  
incident on photodetector 103A divided by the power falling  
on photodetector 103B) and on the horizontal detectors (power  
on the photodetector 103C divided by the power falling on the  
photodetector 103D) is measured and the value stored. An  
15 electronic control loop, such as that described in FIG. 9, is  
then configured to maintain these ratios during the operation  
of the device.

For example, if a different laser is selected, the  
20 electronic control loop adjusts to once again achieve these  
ratios. By using two photodetectors for each axis, an error  
signal independent of the optical power can be obtained. In  
another embodiment, three photodetectors are used along with a  
more complex control circuitry. For example, in FIG. 11,  
25 photodetector 103A can be left out. Lateral control is  
achieved by adjusting the ratio between the detected signal  
from photodetectors 103C and 103D, while vertical control is  
achieved by adjusting the ratio between a detected signal at  
30 photodetector 103B and the sum of detected signals from  
photodetectors 103C and 103D. The embodiment of FIG. 11 can  
also be combined with the dither approach mentioned  
previously, with in varying embodiments differing numbers of  
35 detectors being used. In one embodiment, a single detector  
provides an adequate feedback signal, with maximum coupling to

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the fiber occurring when the least amount of light hits the  
sloped sides and scatters out. As such, with a single  
5 detector, by minimizing the amount of scattered light, maximum  
coupling to the fiber can be achieved.

An alternative approach to using a chiseled lens is shown  
in FIG. 12. For most fiber coupling applications where  
10 minimal feedback is required for both the active component  
(such as a DFB laser) or for the system, an angle cleaved  
fiber is used. When the light is focused on the fiber, there  
is a parasitic reflection from the cleave. The reflection is  
refocused on a position-sensitive or a quad detector that will  
15 produce an error signal. Like the previous embodiment shown  
in FIG. 11, the relative ratios of light on the detectors, or  
the signal corresponding to the position can be stored as an  
initial calibration. Any offset from the initial calibration  
is detected by the electronics and fed back into the control  
20 loop. In FIG. 12, light is focused by lens 101 onto the facet  
of an angle cleaved fiber 113. The image on the cleave is  
then refocused by a second lens 115 onto a quad detector 117.  
This generates photocurrent in the four sections, labeled A,  
25 B, C, and D. The ratio of these currents are stored and used  
for maintaining alignment, as previously described with  
reference to the photodetectors in FIG. 11.

If the quad or position-sensitive detector is placed very  
30 close to the fiber, then the second refocusing lens 115 can be  
eliminated, as any shift in the position of the image on the  
fiber will translate to varying detected powers on the  
photodetector. The same concept can be applied to coupling  
35 to other structures. For example, when coupling a laser to a  
lithium niobate chip, the position of the reflection from the

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facet can also be monitored.

5 In one embodiment, a beam splitter is placed before the optical fiber to independently generate a second image. This is shown schematically in FIG. 13. The focusing lens 101 images light onto the end face of a fiber 123. Between the lens and the fiber is a beamsplitter 121, which forms a secondary image on a quad or position-sensitive detector 125.  
10 Once again, the ratio of generated photocurrent in the detectors is stored during an initial calibration process, and an electronic feedback system maintains this ratio continuously during the operation of the device.

15 In general, any optical system will have a set of parasitic reflections, and these can also be used to maintain optimal coupling to the fiber. FIG. 14 shows that a reflection from the back side of the movable mirror forms a secondary image next to the fiber if the mirror is not perfectly parallel. One could also consider the front side reflection as parasitic, and the backside reflection as the main beam, depending on how the mirror is coated. The light beam from the laser element 7 via lens 81 reflects both from a front face of the mirror 133 and also from a back face 135.  
20 The image from the front face is focused on a fiber 15 via lens 805, while the image from the back face will occur slightly displaced from the main image. A quad or position-sensitive detector 131 is placed at this secondary image to lock the main image on the fiber, as previously described. In various other embodiments, other parasitic images are used, such as a reflection from other optical elements, for example, the exit window at one end of the package, or the light  
25 transmitted through the mirror which shifts as a function of  
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mirror position.

For optical systems with laser arrays, as described in  
the figures, whenever a particular laser needs to be coupled  
to the fiber, the laser on one side of the active device is  
also activated, though perhaps at a lower output power. This  
adjacent laser source produces an adjacent image next to the  
fiber core. The relative position depends on the spacing in  
the array and the magnification of the optical system. Since  
the beam from this laser is not needed in the fiber, a quad  
detector or a position-sensitive detector is used to detect  
the position of the adjacent image. As previously described,  
an error signal is generated by measuring the ratio of  
photocurrents in the quad detector. However, in using this  
technique, an additional laser is utilized and extra power is  
consumed for this additional "guide" laser. This embodiment  
is described in further detail in reference to FIG. 15.

The multi-element laser array 5 contains lasers of  
different characteristics. A particular laser, e.g., laser  
element 2, is selected to be coupled into the fiber 15. An  
adjacent laser, e.g., laser element 143, is also activated,  
and the beam from the adjacent laser propagates through the  
optical system, i.e., via lens 81, mirror 145, and lens 805,  
and is focused adjacent to the fiber. A position sensitive  
detector 141 detects the location of the adjacent image or  
guiding spot to generate the error or feedback signal. In  
other embodiments, instead of the adjacent laser being used,  
others lasers, a given distance away from the selected laser,  
are used. Using a larger separation between the "active"  
laser and the "guide" laser can be helpful, as the image is  
further from the fiber core and thus easier to capture from a

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photodetector. However, a number of lasers on the chip may be required. For example, if twelve lasers are used and a third laser away from a selected laser along one direction of the laser array is used to monitor the fiber coupling, then fifteen lasers in total would be used on the chip.

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Accordingly, the present invention provides a system and methodology for controlling fiber coupling between tunable lasers and an optical output to maximize fiber coupled power. Although this invention has been described in certain specific embodiments, many additional modifications and variations would be apparent to one skilled in the art. It is therefore to be understood that this invention may be practiced otherwise than is specifically described. Thus, the present embodiments of the invention should be considered in all respects as illustrative and not restrictive. The scope of the invention to be indicated by the appended claims, their equivalents, and claims supported by this specification rather than the foregoing description.

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